



# Chapter 11

## Glaciers and Monsoon Systems

**Bodo Bookhagen**

**Abstract** This chapter will analyze the impact of monsoon systems on glaciers. Most of the tropical glaciers in the Andes and Himalayas vary greatly in time and space, and are heavily influenced by their corresponding monsoon systems. This chapter will review climatic boundary conditions and provide a regional assessment of glacial changes in monsoonal systems with focuses on the central Andes and Himalayas.

**Keywords** Andes · Himalayas · High Mountain Asia · Atmospheric lapse rate · Snow water equivalent · Runoff · Glacial contribution

### 11.1 Glaciers and Global Monsoon Systems

Glaciers around the world are rapidly shrinking, especially in low-latitude regions (Baraer et al. 2012; Bolch et al. 2011, 2012; Bradley et al. 2006; Hanshaw and Bookhagen 2014; Huss 2012; Kaser et al. 2006; Oerlemans 2005; Price and Weingartner 2012; Vaughan et al. 2013; Vuille et al. 2008). Glaciers are an important source of clean water and provide a significant portion of the annual hydrologic budget in some regions (Archer and Fowler 2004; Huss et al. 2008; Kaser et al. 2010; Radic and Hock 2011; Vaughan et al. 2013; Viviroli and Weingartner 2004). Especially in the tropical Andes, glacial-melt contribution is important (Kaser et al. 2010; Vuille et al. 2008); other tropical and low-latitude regions with mountain ranges obtain their runoff from transiently stored waters in the form of snow and ice (Barnett et al. 2005; Bookhagen and Burbank 2010; Kaser et al. 2010; Viviroli and Weingartner 2004). However, the contribution of glacial runoff is difficult to determine and varies from year to year. Remote-sensing studies

---

B. Bookhagen (✉)

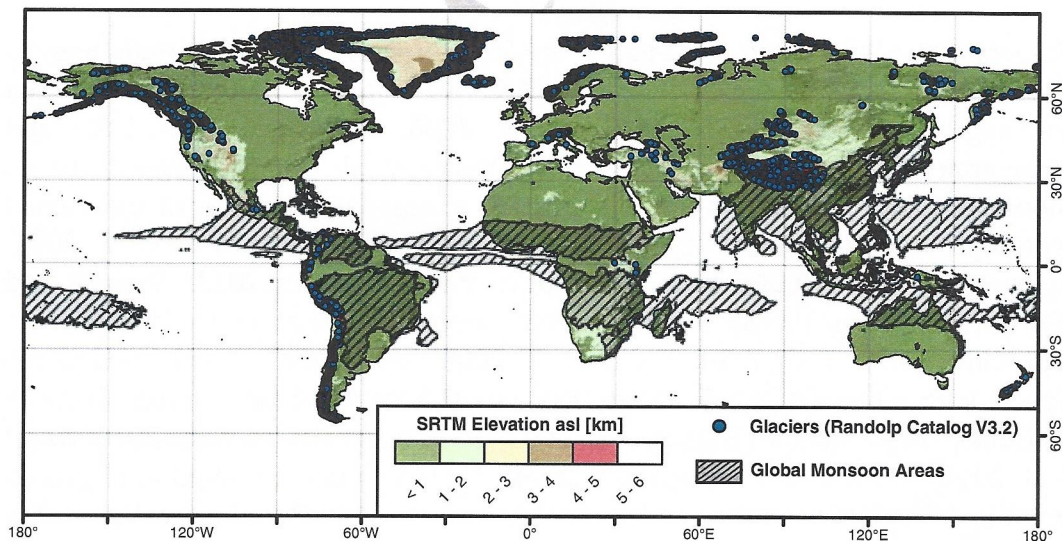
Institute of Earth and Environmental Science, University of Potsdam,  
Karl-Liebknecht-Str. 24/25, 14467 Potsdam-Golm, Germany  
e-mail: Bodo.Bookhagen@uni-potsdam.de

27 can help to assess general trends in glacial areas, elevation changes, and velocities,  
 28 but in situ field work adds crucially important measurements unavailable at the  
 29 scale of the most remotely sensed datasets (Finger et al. 2012; Hanshaw and  
 30 Bookhagen 2014; Huggel et al. 2002; Paul et al. 2004; Quincey et al. 2007; Scherler  
 31 et al. 2011a, b).

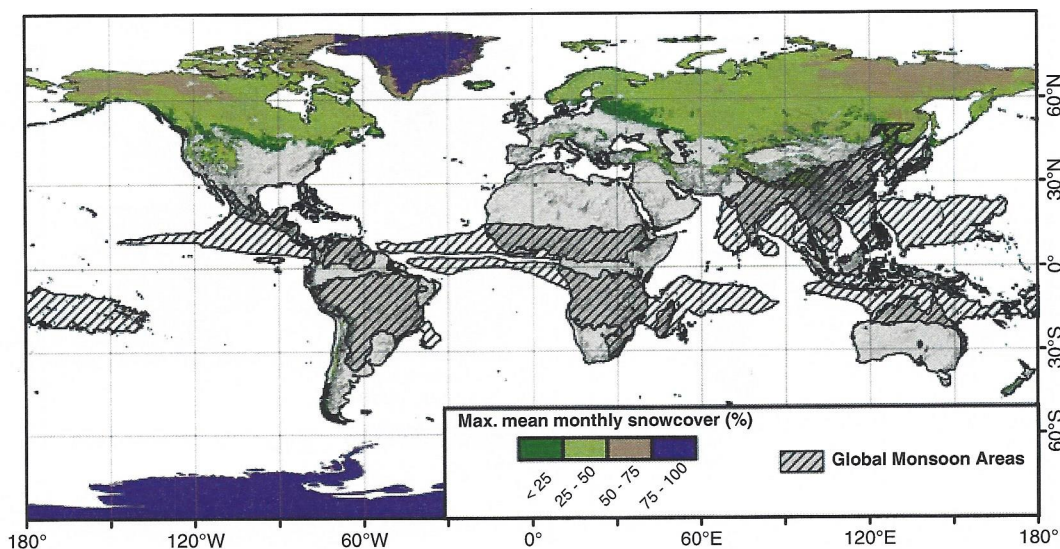
32 From a geographic perspective, glaciers in the tropics and low-latitude regions  
 33 are limited to high-elevation regions where temperatures are low enough to  
 34 maintain year-round ice. The areas that this chapter will focus on are the Andes of  
 35 South America and the Himalayas in eastern Asia (Fig. 11.1); both areas are  
 36 glacierized to different degrees because of their varying topographic and climatic  
 37 boundary conditions.

38 Here, we loosely define global monsoon regions as the areas where the grid-cell  
 39 summer-minus-winter precipitation rate exceeds 2.5 mm/day and the local summer  
 40 precipitation exceeds 55 % of the annual total (Wang and Fan 1999) (Fig. 11.1).

41 While glaciers are important for water resources in some tropical regions, the  
 42 seasonal snow cover may provide an equally important contribution to annual  
 43 runoff (Bookhagen and Burbank 2010; Viviroli and Weingartner 2004). For  
 44 example, river systems in the western and northwestern Himalayas, such as the  
 45 Indus, derive more than 50 % of their annual runoff from snow-melt waters (Archer  
 46 and Fowler 2004; Bookhagen and Burbank 2010; Immerzeel et al. 2009), but only a  
 47 small percentage of runoff is derived from glacial-melt waters (Jeelani et al. 2012).  
 48 There is no significant snow cover in the central Andes, but south of 30°S, the  
 49 Andes have a persistent seasonal snow cover (Figs. 11.2 and 11.4), but this is



**Fig. 11.1** Global distribution of glaciers using the Randolph Catalog (V3.2—blue dots) (Arendt et al. 2012), shaded-relief topography (SRTM) (Farr et al. 2007), and TRMM 3B42V7-based monsoonal areas (gray-hatched areas) (Huffman et al. 2007). Global monsoon domains are approximated by the approach of B. Wang and Fan (1999), where the grid-cell summer-minus-winter precipitation rate exceeds 2.5 mm/day and the local summer precipitation exceeds 55 % of the annual total



**Fig. 11.2** Maximum annual snow cover extent based on MODIS product MOD10C1.005 (Hall et al. 2006) from February 2000 until April 2014. *Hashed* areas indicate global-monsoon areas (cf. Fig. 11.1). Note the low snow cover for most monsoonal areas, except in the Himalayas

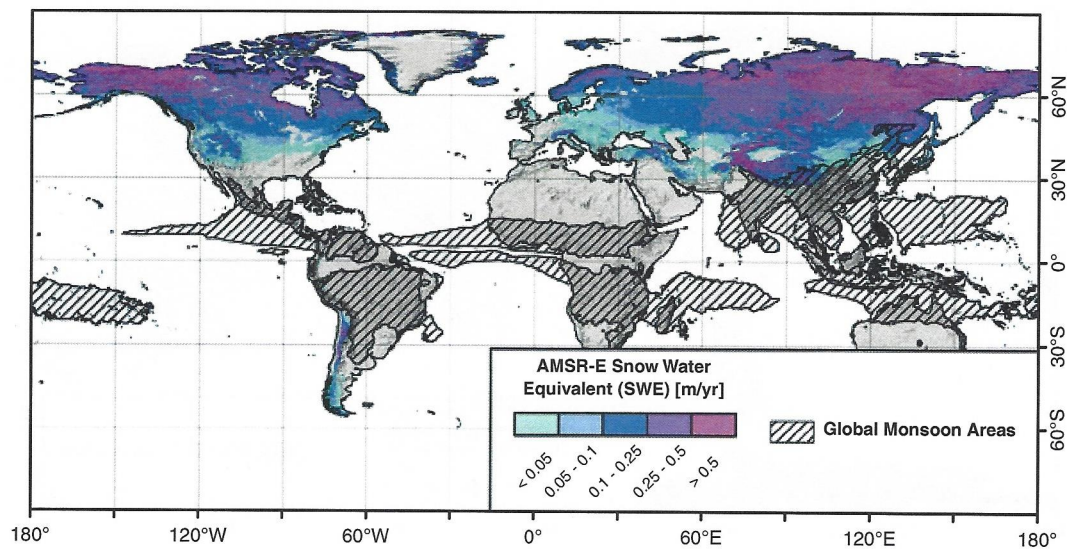
*Hashed*

50 outside the South American Monsoon domain. The Himalayas have a seasonal  
 51 snow cover, especially in their west and northwest, at elevations above 4 or 5 km  
 52 (Fig. 11.2).

AQ1

53 Snow-cover measurements based on satellite imagery only indicate areal extent,  
 54 but not snow volume or snow amount. For example, a thin, persistent snow cover  
 55 may have the same signal as a thick, seasonal cover, but their water equivalents  
 56 differ significantly. Hence, snow water equivalent (SWE) measurements give a  
 57 better estimate for the amount of water stored in high elevation areas. However,  
 58 remote-sensing measurements of SWE do not have high spatial resolution and are  
 59 hampered by technical difficulties (Pulliainen and Hallikainen 2001; Tait 1998;  
 60 Tedesco et al. 2004b). A compilation of annual SWE for ~10 years—from 2002 to  
 61 2011—shows high SWE amounts for the global monsoonal domain only in the  
 62 Himalayas (Fig. 11.3). The southern Andes show significant seasonally stored SWE  
 63 as well, but are not part of the global monsoon domain.

64 The IPCC's Fourth Assessment identifies snowmelt as a key component of the  
 65 hydrology and climate for High Mountain Asia (HMA)—roughly defined as the area  
 66 from the Tien Shan in the north, down to the Himalayas in the south, and from the  
 67 Pamir in the west to the edge of the Tibetan Plateau in the east (Jacob et al. 2012;  
 68 Lemke et al. 2007). The time lag between seasonal snowfall and snowmelt sustains  
 69 runoff during the drier summer months. A warmer climate could change the timing  
 70 of melt and the volume of the snowpack, and would have significant consequences  
 71 for water resources and power generation, particularly in year-round water provi-  
 72 sioning. Among the world's snow-dominated regions, the western Himalayas and  
 73 central Asia are particularly susceptible to changes in the timing of snowmelt, as  
 74 reservoir capacity is currently not sufficient to buffer large seasonal shifts in the  
 75 hydrograph (Barnett et al. 2005). Similarly, the IPCC's Fifth Assessment report



**Fig. 11.3** Annual snow water equivalent (SWE) based on passive microwave data (AMSR-E) (Tedesco et al. 2004a) from June 2002 to Oct 2011. SWE is generally low in monsoon-dominated areas (cf. Fig. 11.1), except in High Mountain Asia (HMA)

76 released in the fall of 2013 makes clear regional distinction in societal impacts due to  
 77 transiently stored moisture in the form of snow, ice, and permafrost (IPCC 2013).

78 Taken together, many large cities and densely populated areas in the Andes and  
 79 Himalayas are located above 2,000 m elevation and depend almost entirely on high  
 80 altitude water stored in snowpack and glaciers to complement scarce rainfall during  
 81 the dry season. The increase in glacial melting leads to higher glacial melt water  
 82 fluxes, but much of the water loss is no longer seasonally restored. The long-term  
 83 consequences of this are that dry-season runoff will be significantly reduced over  
 84 the coming decades. While wet season runoff may be higher for the first few  
 85 decades—due to increased melting—it will decline when the glaciers start to adjust  
 86 to their new equilibriums. Importantly, mean annual runoff may not change very  
 87 much, but when water is most needed during the dry season to support agriculture  
 88 and hydropower generation, water availability will be significantly reduced.

89 In the tropical areas influenced by the monsoon, temperature remains nearly  
 90 constant throughout the year, but the hydrological cycle typically has pronounced  
 91 wet and dry phases. For that reason, the mass and surface energy balance of tropical  
 92 glaciers are very different than mid- or high-latitude glaciers (Kaser 2001; Vuille  
 93 et al. 2008; Wagnon et al. 1999). At mid- or high latitudes, winter is the accu-  
 94 mulation and summer the ablation season, but ablation and accumulation occur  
 95 year-round on tropical glaciers. Because of the relatively stable year-round tem-  
 96 perature regime, melting occurs mainly in the ablation zone below the snow line  
 97 altitude, and accumulation is mostly restricted to regions above the snow-rain line,  
 98 which often remains at a constant altitude throughout the year (Vuille et al. 2008).  
 99 Field studies and field measurements are rare throughout the tropical regions due to  
 100 the high altitude of the glaciers, but the few existing studies reveal that the largest  
 101 mass loss and gain occurs during wet seasons (Francou et al. 2003). In the South



American Monsoon domain, inter-annual glacial variations are also controlled by the El Niño-Southern Oscillation (ENSO) phenomenon, which dictates moisture transport and controls regional temperature. Positive ENSO cycles often result in strongly negative glacial mass balances (melting) due to reduced moisture transport into the central Andes (Bookhagen and Strecker 2010). In contrast, moisture transport during negative ENSO cycles is often increased and results in balanced or slightly positive mass balances in glaciers in the north-central and central Andes (Francou et al. 2003; Vuille et al. 2008; Wagnon et al. 2001).

This section summarizes some of the recent findings in cryospheric sciences, regional retreat rates, climatic trends, and their impact on the downstream society. In a second step, I will link global glacial distributions to monsoon domains and will focus on the South American Andes and the Himalayas in eastern Asia.

## 11.2 Datasets and Methods

The analysis and synthesis presented in this paper relies on several field and remote-sensing datasets. I rely on high-spatial resolution remote sensing data, because most climatic re-analysis datasets do not have the spatial resolution necessary to capture the steep climatic and topographic gradients of large mountain ranges.

Glacial extents were derived from the Randolph Glacier Inventory (RGI), a community-based dataset of global glacier outlines (Version 3.2) (Arendt et al. 2012) (Fig. 11.1). These data are referred to as RGI V3.2. Additional glacial outlines were taken from Hanshaw and Bookhagen (2014) for the central Andes.

Rainfall data were based on the Tropical Rainfall Measurement Mission (TRMM) product 3B42 (Boers et al. 2013; Bookhagen 2010; Bookhagen and Strecker 2010; Huffman et al. 2007). This product has a 3-h temporal resolution (data were aggregated to daily time steps) and a spatial resolution of  $0.25^\circ \times 0.25^\circ$  (about  $25 \times 25 \text{ km}^2$ ) with an observational range from 1998 to 2014. In addition, high-spatial resolution TRMM 2B31 data were used to decipher orographic rainfall barriers. These data are based on the raw orbital observations that have been interpolated to regularly-spaced 90-m grids (Bookhagen and Burbank 2006, 2010; Bookhagen and Strecker 2008, 2012). A study comparing TRMM 3B42 with various other precipitation datasets for South America indicates good agreement between station and remotely sensed data at large spatial scales (Carvalho et al. 2012). A comparison of station data and gridded rainfall data for the Himalayas indicates that TRMM 3B42 and TRMM 2B31 perform reasonably well (Andermann et al. 2011).

Snow cover data were derived from the MODIS (Moderate Resolution Imaging Spectroradiometer) product MOD10C1 daily dataset with  $0.05^\circ \times 0.05^\circ$  ( $\sim 5 \times 5 \text{ km}^2$ ) spatial resolution (Hall et al. 2006). Data were aggregated to monthly or seasonal time steps where needed. Data ranged between March 2001 and April 2014.



I have

142 Land Surface Temperature data were derived from the MODIS product  
143 MOD11C1 daily dataset with  $0.05^\circ \times 0.05^\circ$  spatial resolution (Wan 2008; Wan and  
144 Dozier 1996). Here, we rely on the nighttime data because they provide more  
145 accurate surface-temperature measurements (Bookhagen and Burbank 2010; Wang  
146 et al. 2008). Data were aggregated to monthly values where needed.

147 Snow water equivalent (SWE) is based on passive microwave measurements  
148 onboard the AMSR-E (Advanced Microwave Scanning Radiometer—EOS) plat-  
149 form (Pulliainen and Hallikainen 2001; Tait 1998; Tedesco et al. 2004b). Daily data  
150 with a spatial resolution of  $0.25^\circ \times 0.25^\circ$  were generated between May 2002 and  
151 Oct 2011.

152 ~~The author has~~ used the centroids of glacial outline polygons from RGI V3.2 for  
153 display purposes (e.g., Figs. 11.1 and 11.4), and has used the polygon extents to  
154 calculate topographic and climatic statistics from various datasets (e.g., Figs. 11.5  
155 and 11.10).

156 Along-latitude (Fig. 11.5) and along-longitude (Figs. 11.6 and 11.10), profiles  
157 were generated for each row or column of data, respectively—that is, the  
158 along-latitude profile for the Andes was generated by first projecting all data to an  
159 equal-area grid with the same spatial resolution using bilinear resampling.  
160 Secondly, row-wise statistical measurements (average, minimum, maximum) were  
161 generated for elevations  $>500$  m asl, which correspond to the mountainous Andes  
162 and exclude low-lying areas. Finally, these data were smoothed with a 5-km  
163 running-average filter along the profile direction.

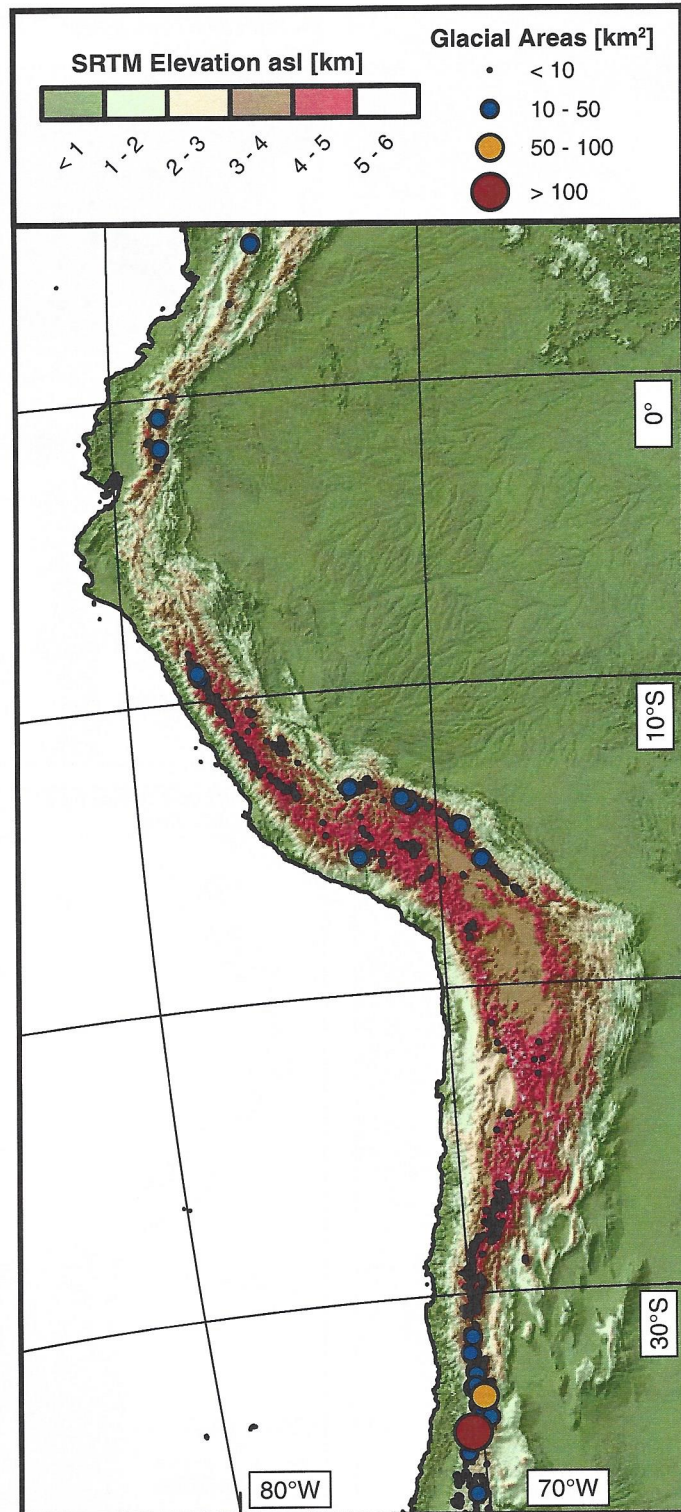
## 164 11.3 Glaciers in the Andes and the South American 165 Monsoon System (SAMS)

### 166 11.3.1 Climatic Background

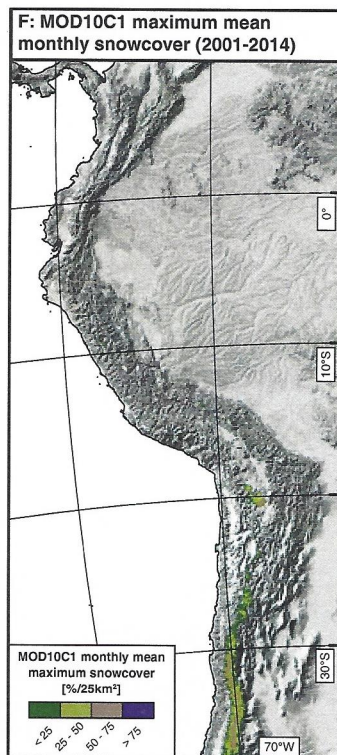
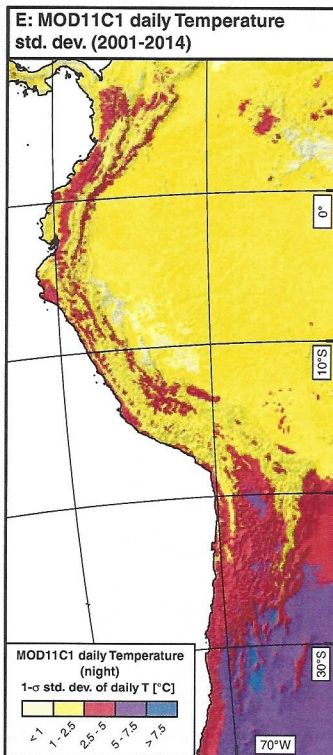
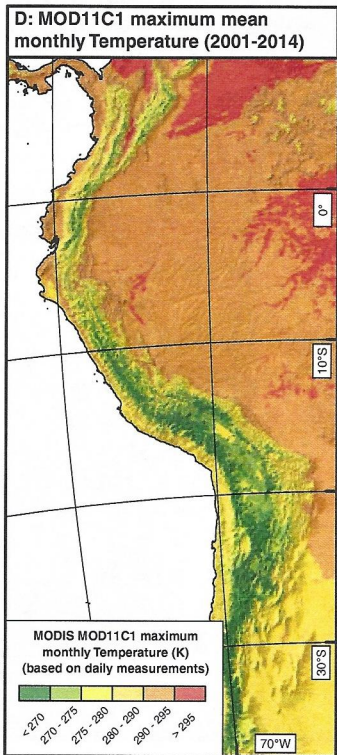
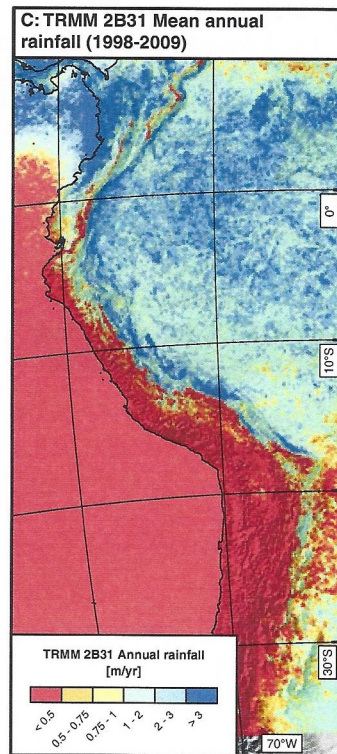
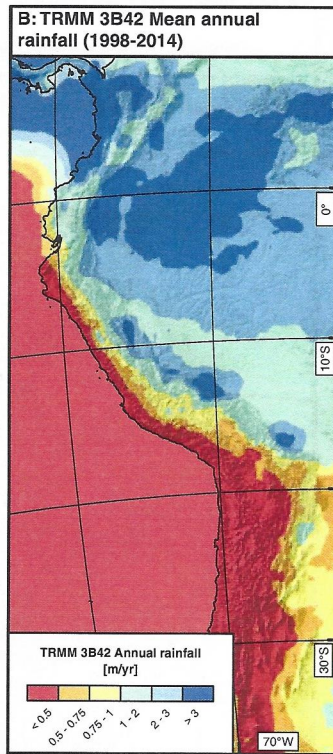
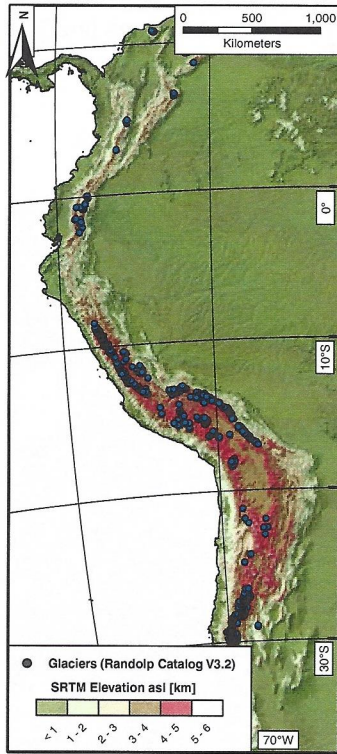
167 The South American Monsoon System (SAMS) is an important feature of the  
168 global monsoon domain (e.g., Kitoh et al. 2013) and is characterized by highly  
169 seasonal features. For an in-depth review, refer to Carvalho et al. (2011b); Marengo  
170 et al. (2012); Vera et al. (2006). A comprehensive description of the SAMS is found  
171 in Chap. 6 of this book.

172 In short, low-level moisture transport from the tropical Atlantic onto the South  
173 American continent is driven by trade winds initiated near the Intertropical  
174 Convergence Zone (ITCZ), in combination with differential heating between ocean  
175 and land during the monsoon season (December–January–February, DJF)  
176 (Marengo et al. 2012; Vera et al. 2006). An integral part, and the most distinctive  
177 feature of the SAMS, is the South Atlantic Convergence Zone (SACZ), which is  
178 characterized by a convective band of precipitation extending southeastward from  
179 the central Amazon Basin (Carvalho et al. 2002; Jones and Carvalho 2002). The  
180 SACZ exhibits a dipole-like pattern with strengthened precipitation in the SACZ  
181 when precipitation in southeast South America (SESA) is reduced, and vice versa

**Fig. 11.4** Topography and glacial sizes based on RGIV3.2 (Arendt et al. 2012) for South America. The large majority of glaciers in the northern and central Andes are  $<10 \text{ km}^2$  (cf. glacial sizes for the Himalayas in Fig. 11.8). Outside the monsoon-influenced region south of  $30^\circ\text{S}$ , larger glaciers exist due to higher moisture influx



182 (e.g., Carvalho et al. 2004; Marengo et al. 2012; Vera et al. 2006). The low-level  
 183 flow from the Amazon basin westward in the form of the South American  
 184 Low-Level Jet (SALLJ) is deflected southward by the Andes (Marengo et al. 2012).  
 185 When the moisture-laden clouds are orographically lifted, they result in a prominent







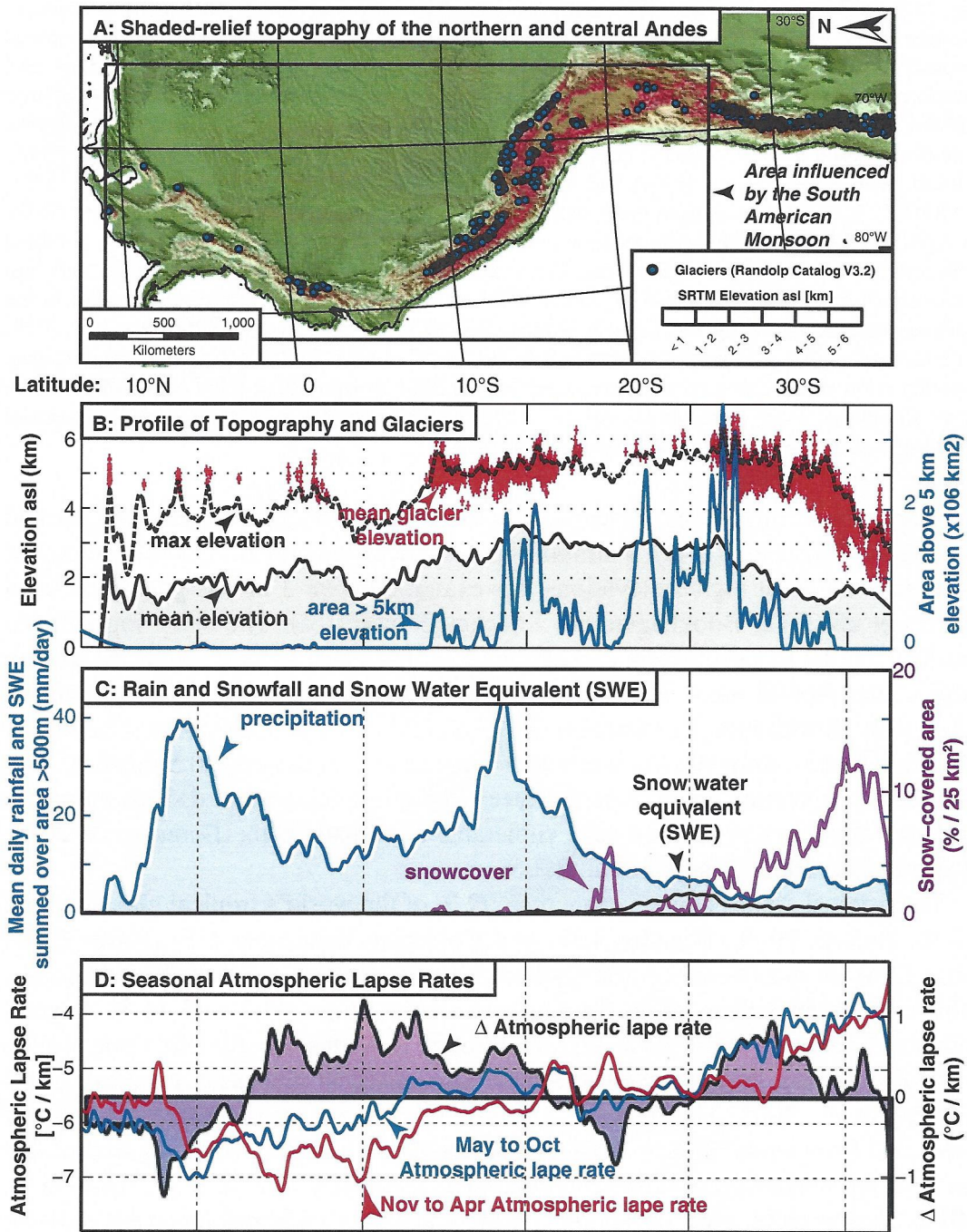
◀ **Fig. 11.5** a Dataset compilation for South America. *Top left panel* shows topography and glacier location based on RGI V3.2 (Arendt et al. 2012). **b** Mean annual TRMM 3B42 rainfall (spatial resolution:  $\sim 25 \times 25 \text{ km}^2$  and 3-h temporal resolution) (Boers et al. 2013; Bookhagen and Strecker 2010; Huffman et al. 2007). **c** Mean annual rainfall based on high-spatial resolution TRMM 2B31 data (Bookhagen and Burbank 2006, 2010; Bookhagen and Strecker 2008). Note the overall similarity between TRMM 3B42 and 2B31, but the generally more pronounced orographic rainfall peak along the eastern Andes due to the higher spatial resolution of product 2B31. **d** MODIS MOD11C1 maximum mean monthly temperature based on daily data from March 2001 to April 2014 collected during nighttime conditions (Wan 2008). Note the temperature gradient between the high-elevation Andes and the low-elevation Amazon plains. **e** 1-sigma standard deviation of daily temperatures from March 2001 to April 2014. Note the low variability in the tropical regions, including the tropical Andes. However, in the subtropical regions at  $\sim 27^\circ\text{S}$ , nighttime temperature variability exceeds 7.5 and 10 °C. **f** MODIS MOD10C1 maximum mean monthly snow cover based on daily data from March 2001 to April 2014 (Hall et al. 2006). There is no significant snow cover in the central Andes in the tropical regions, but in the subtropical southern Andes, snow cover becomes more dominant

186 orographic rainfall peak along the eastern Andean mountain front (Bookhagen and  
187 Strecker 2008) (Fig. 11.4c). Rainfall peaks at the mountain front at elevations of  
188  $\sim 1 \text{ km}$ ; rainfall at higher elevations, for example above 3 km, is greatly reduced  
189 (Boers et al. 2013; Bookhagen and Strecker 2008, 2012). The steep topographic  
190 gradient results in a significant climatic gradient from east to west across the eastern  
191 Andes: the frontal areas are moist, tropical climates with mean annual rainfall  
192  $>4 \text{ m/year}$  (Bookhagen and Strecker 2008) and dense vegetation cover. The higher  
193 elevation areas—only 100 km westwards—are semi-arid to arid ( $<0.5 \text{ m/year}$ ), with  
194 little to no vegetation cover. It is this steep climatic gradient that defines mountain  
195 climate and makes this region very vulnerable to climate shifts (Baraer et al. 2012;  
196 Barnett et al. 2005; Bradley et al. 2006).

197 The tropical Andes contain more than 99 % of the world's tropical glaciers: Peru  
198 71 %, Bolivia 20 %, Ecuador 4 %, and Colombia-Venezuela 4 % (Kaser 1999)  
199 (Fig. 11.4). In most north-central Andean regions, glaciers cover the highest peaks,  
200 which are often volcanoes in the northern Andes (Figs. 11.1 and 11.5). Several  
201 mountain peaks in the central and south-central Andes are presently too dry to  
202 maintain glaciers, but extensive evidence suggests past glaciation on these peaks  
203 (Abbott et al. 2003; Haselton et al. 2002; Thompson et al. 2003). The runoff  
204 generated from some of these tropical glaciers are an integral part of the hydrologic  
205 cycle in the northern and north-central Andes, especially in Peru (e.g., Kaser et al.  
206 2010). Furthermore, melt waters from these glaciers provide resources not only for  
207 drinking water and hydropower generation, but also for agriculture and recreation  
208 (Buytaert et al. 2006, 2011; Buytaert and De Bievre 2012).

### 209 11.3.2 Glacial Retreat Rates and Trends

210 Glacial retreat in the tropical Andes over the last three decades is unprecedented  
211 since the maximum extension of the Little Ice Age (LIA, mid-seventeenth to early  
212 eighteenth centuries) (Rabatel et al. 2013). Venezuela has the northernmost tropical





◀ **Fig. 11.6** Topographic, climatic, and glacial distribution through South America. **a** Shaded-relief topography of the northern and central Andes shows glacier locations (Arendt et al. 2012). *Black box* outlines the area influenced by the South American Monsoon System (cf. *hashed areas* in Fig. 11.1). **b** Shows topographic profile from North to South along the Andes orogen for elevations above 500 m asl (i.e., grid cells from the Amazon Basin and other low-elevation areas are excluded). The maximum elevation (*dashed line*) denotes peaks. Most of the peaks near the Equator are volcanoes that are covered by small glaciers. Note that the heavily glacierized areas in the southern Andes are not part of the monsoon domain (south of  $\sim 28^\circ\text{S}$ ). **c** The heavily glaciated Cordillera Oriental in Peru ( $\sim 10^\circ\text{S}$ ) is characterized by high monsoonal precipitation, but low snow cover. Throughout the tropical Andes, there is no persistent snow cover and only very little snow-water equivalent (SWE) amounts. Only at the southern end of the monsoon domain, widespread snow cover and SWE become more dominant. SWE and precipitation are scaled similarly and show the daily water amount for the area above 500 m elevation. This can be converted to annual amounts by multiplying with 365. **d** Atmospheric lapse rate ( $^\circ\text{C}/\text{km}$ ) for the austral summer (November–April) and winter (May–October) is between  $-7$  and  $-5$   $^\circ\text{C}/\text{km}$  for the northern and central Andes, but increases to  $>-5$   $^\circ\text{C}/\text{km}$  to the south of the monsoon domain. Note that seasonal lapse rate differences in the central Andes can exceed 1  $^\circ\text{C}/\text{km}$

glacier (Figs. 11.1 and 11.4), but has lost more than 95 % of its glacier-covered area since the 1950s (Vuille et al. 2008). Peru contains the largest amount of all tropical glaciers in the low latitudes, and most of these glaciers are located in the monsoon-dominated Cordillera Occidental and Oriental (Figs. 11.1 and 11.4) (Arendt et al. 2012; Rabatel et al. 2013; Vuille et al. 2008). Similarly, glaciers in the Cordillera Vilcanota and the Quelccaya Ice Cap area in the northern central Andes in Peru have retreated with rates of  $3.99 \pm 1.15 \text{ km}^2 \text{ year}^{-1}$  (Cordillera Vilcanota) and  $0.57 \pm 0.19 \text{ km}^2 \text{ year}^{-1}$  (Quelccaya Ice Cap) (Hanshaw and Bookhagen 2014). Importantly, glacial retreat has accelerated between the decades of 1988–1999 and 2000–2010 by 13 % (Hanshaw and Bookhagen 2014). The late 1970s have been identified as a break point in the trend of glacial declines: mean mass balances per year were  $-0.2$  m water equivalent (w.e.) between 1964 and 1975, and increased to  $-0.76$  m w.e. between 1976 and 2010 (Rabatel et al. 2013). This timing coincides with a shift of the SAMS (Carvalho et al. 2011a, b).

It has been argued that monthly mass balance measurements on glaciers in Bolivia, Ecuador, and Colombia are controlled by the variability of sea surface temperatures of the Pacific Ocean at decadal time scales (Rabatel et al. 2013), but other climatic phenomena such as ENSO cycles or the Madden Julian Oscillation (MJO) (e.g., Carvalho et al. 2004) may have similar impacts. No clear precipitation trend has been identified in the tropical Andes, but temperature increased at a rate of  $0.10$   $^\circ\text{C}/\text{decade}$  during the last 70 years. It has been argued that more ENSO events with changing spatiotemporal patterns and a warming troposphere over the tropical Andes may explain much of the recent glacial shrinkage (Bradley et al. 2009, 2006; Hardy et al. 2003; Rabatel et al. 2013).

Glaciers in the northern central Andes span a wide range of elevations (Fig. 11.6), and the glacial retreat rate is dependent on glacial median elevation: glaciers with lower median elevation are declining at faster rates than those with higher median elevations. Specifically, glaciers with median elevations around 5.2 km asl are retreating at a rate of  $\sim 1$   $\text{m year}^{-1}$  faster than glaciers with median



242 elevations around 5.4 km asl (Hanshaw and Bookhagen 2014). To the south of the  
243 Peruvian Cordillera, glaciers in the Bolivian Cordillera Real have lost between 60  
244 and 80 % of their mass since the mid-seventeenth to early eighteenth centuries, with  
245 most of the mass loss occurring during the past 50 years (Rabatel et al. 2013). The  
246 southernmost glaciers influenced by the monsoon are near 24°S (Fig. 11.4); the  
247 ubiquitous glaciers to the south are fed not only by the South American Monsoon  
248 System, but by the westerly wind systems and are not taken into account in this  
249 chapter.

250 Glacial retreat or advance is controlled by several factors, including precipita-  
251 tion, temperature, ice rheology, and surface-energy budgets. In tropical locations,  
252 temperature stays surprisingly similar throughout the year (Kaser 1999; Vuille et al.  
253 2008) (cf. Fig. 11.5e), but overall temperature gradients vary (Fig. 11.6d). Daily  
254 temperatures throughout the year are fairly constant across 30° of latitude from  
255 north of the Equator to the south-central Andes (Fig. 11.5d, e). However, in the  
256 extra-tropical regions south of ~30°S, temperatures have a seasonal component  
257 and vary by more than 7.5 °C (Fig. 11.5e). The atmospheric lapse rate that describes  
258 the temperature change with elevation has a slightly negative trend from north to  
259 south but is generally constant along the tropical central Andes (Fig. 11.6d). Austral  
260 summer lapse rates (November to April) in the tropical Andes are between -6 and  
261 -7 °C/km, but increase to higher rates (~-4.5 °C/km) to the south in the  
262 extra-tropical regions. The austral winter atmospheric overall lapse rate is slightly  
263 higher, but shows similar spatial patterns to the austral summer lapse rate. The lapse  
264 rates at the latitudes of the central Andean plateau (Altiplano and Puna de Atacama)  
265 is higher than in the northern central Andes, because of a decrease of the tem-  
266 perature gradient between foreland and plateau region: the high-elevation Altiplano  
267 and Puna de Atacama orogenic plateaus heat up and reduce the temperature gra-  
268 dient (Fig. 11.6d). This phenomenon also has been observed in the Tibetan Plateau  
269 area (cf. Fig. 11.10c). The decrease in the atmospheric lapse rate in the central  
270 Andean plateau region leads to higher temperatures at higher elevations as com-  
271 pared to northern regions. This reduces the area that can be glacierized, and hence  
272 glacial areas in this region are smaller because of a decrease in moisture supply and  
273 higher temperatures.

274 Average daily temperatures and annual temperature variation have a strong  
275 topographic control: Intermontane basins that are lower than surrounding mountain  
276 ridges, for example, basins of 10–10,000 km<sup>2</sup> on the Altiplano-Puna de Atacama  
277 Plateau or in the south-central Andes, show larger annual temperature variation than  
278 the eastern slopes of the Andes (Fig. 11.5d, e). These intermontane basins influence  
279 local climate, lapse rates, and precipitation processes (Bookhagen and Strecker  
280 2008; Rohrmann et al. in review; Romatschke and Houze 2013).

281 The occurrence of glaciers is controlled by moisture supply and temperature, and  
282 both factors are controlled by topography and the monsoon. Only the highest peaks  
283 are covered by glaciers (Fig. 11.5b) and only in regions with sufficient moisture  
284 supply and steep atmospheric lapse rates. The heavily glacierized eastern Cordillera  
285 in Peru receives large amounts of rainfall (Fig. 11.5b, c). But to the south of this  
286 area, present-day rainfall decreases and fewer current glaciers exist. During

2014



287 previous pluvial periods in the Late Pleistocene, these areas received more moisture  
288 in conjunction with a possible temperature decrease, and mountain peaks were  
289 glaciated (Abbott et al. 2003; Haselton et al. 2002). Thus, the central Andes cen-  
290 tering around 20°S have large areas of more than 5 km elevation and can be  
291 glacierized, if atmospheric conditions allow.

## 292 11.4 Glaciers in the Himalayas and the Indian Monsoon 293 System (IMS)

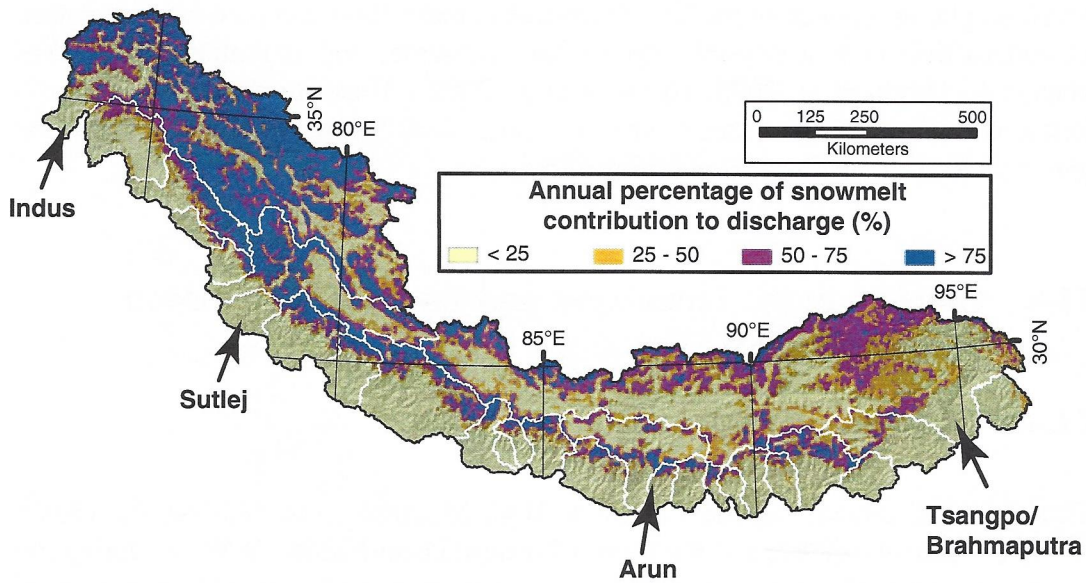
### 294 11.4.1 Climatic Background

295 Two principal climate regimes dominate High Mountain Asia (HMA): the Indian  
296 Summer Monsoon (~~ISM~~) and the Winter Western Disturbances (WWD). During the remove  
297 summer months, the monsoon is driven by a temperature differential between ocean  
298 and land, and upon encountering the orographic barrier of the Himalayas, mon-  
299 soonal winds bring heavy precipitation to the region (see Chaps. 3 and 4). In the  
300 western part of the HMA, monsoonal precipitation is significantly less than in the  
301 east and central Himalayas, principally because of the increasing distance from the  
302 Bay of Bengal—the main source of water vapor for the monsoon (Bookhagen and  
303 Burbank 2006, 2010; Wulf et al. 2010).

304 During the winter, the pressure gradient that drives the monsoon reverses,  
305 resulting in WWD—westerly upper tropospheric synoptic-scale waves (Wulf et al.  
306 2010). In contrast to the monsoons, WD travel at higher tropospheric altitudes and  
307 are therefore susceptible to orographic capture and intensification at high elevations  
308 (Lang and Barros 2004; Wulf et al. 2010). The WD are responsible for much of the  
309 winter precipitation in western HMA, especially at large-scale topographic features  
310 such as the Karakoram (Wulf et al. 2010). As a result, the western half of HMA  
311 receives more snowfall than the central or eastern Himalayas, demonstrated by the  
312 significantly greater snow-covered area (SCA) (Immerzeel et al. 2009; Wulf et al.  
313 2010) (Fig. 11.9b). Consequently, snowmelt contributions to annual river runoff in  
314 western HMA are considerably greater in comparison to the eastern and central  
315 Himalayas, where monsoonal rainfall is the dominant source of river runoff  
316 (Immerzeel et al. 2009; Jeelani et al. 2012; Wulf et al. 2010) (Fig. 11.7).

317 In addition to the WD, the Tien Shan also experiences winter storms originating  
318 from the Siberian steppes (Aizen et al. 1995). The interaction between the WWD  
319 and the North Atlantic oscillation, as well as the Siberian anticyclonic circulation,  
320 determines the quantity of winter precipitation for the region, and this can vary  
321 along the entire mountain range (Aizen et al. 1997). Importantly, similar to the  
322 Himalayas, the northern Tien Shan experience a precipitation and mean temperature  
323 gradient that runs from the northwest to the southeast (Sorg et al. 2012).

324 Seasonal snow in HMA also plays an important role in the regional climate  
325 (Bookhagen and Burbank, 2010; Immerzeel et al. 2009; Wang et al. 2014)  
326 (Fig. 11.7). Upper tropospheric air temperatures over the Tibetan Plateau are



**Fig. 11.7** The spatial pattern of snowmelt contribution to river discharge in the Himalayas derived from calibrated and validated satellite products and degree-day runoff modeling (modified according to Bookhagen and Burbank 2010). Note the high snowmelt contribution in the western Himalayas (e.g., the Indus and Sutlej catchments). Crucially, the areas with significant annual snowmelt contribution to river runoff are located at high elevations in remote regions with few to no monitoring stations

327 substantially warmer than air temperatures above the Indian Ocean. This tropo-  
 328 spheric temperature gradient is thought to drive the Indian monsoon (Fu and  
 329 Fletcher 1985). It has been hypothesized that larger amounts of seasonal snow  
 330 cover over the Tibetan Plateau could reduce the magnitude of the Indian monsoon  
 331 by reducing land surface temperatures, thereby reducing the tropospheric temper-  
 332 ature gradient (Barnett et al. 1989; Blanford 1884). However, some recent studies  
 333 ~~have refuted this hypothesis, finding~~ a weak positive correlation between Eurasian  
 334 snow cover and monsoon rainfall (Robock et al. 2003). While reductions in sea-  
 335 sonal snow in western HMA may or may not affect monsoon rainfall, such  
 336 reductions would seriously decrease water resource availability for river basins in  
 337 the west.

338 The WD are responsible for much of the seasonal snow accumulation in HMA  
 339 (Dimri 2005; Wulf et al. 2010) (Fig. 11.7). Still, the Indian summer monsoon can  
 340 contribute high elevation seasonal snow to the central and eastern Himalayas and  
 341 the Tibetan Plateau (Bookhagen and Burbank 2006; Bookhagen et al. 2005;  
 342 Putkonen 2004; Wulf et al. 2010). However, SCA is more extensive and persistent  
 343 in the western Himalayas than in the central and eastern Himalayas (Bookhagen and  
 344 Burbank 2010), and also peaks much later in the western Himalayas (Immerzeel  
 345 et al. 2009). Furthermore, snowlines are lower in the western Himalayas (Scherler  
 346 et al. 2011a). These findings are consistent with the higher topography of the  
 347 western Himalayas and the storm tracks of the WD. In general, snow cover has  
 348 trended downward throughout HMA, but in some cases SCA has increased, for

indicate



349 example in the Karakoram (Immerzeel et al. 2009; Tahir et al. 2011). This finding is  
350 consistent with the Karakoram glacier anomaly—a region of positive mass balance,  
351 either as a result of increased wintertime precipitation or decreased summer tem-  
352 perature (Bolch et al. 2012). Either way, it is important to note that in both  
353 Immerzeel et al. (2009) and Tahir et al. (2011), trends were not significant at the  
354 0.05 confidence interval and the study time periods were relatively short, i.e.,  
355 <10 years. In the Tien Shan and Hindu Kush, there is less information on the spatial  
356 and temporal extent of SCA. However, in the Tien Shan, snow cover thickness and  
357 duration have been found to be steadily decreasing since the 1940s (Aizen et al.  
358 1997; Sorg et al. 2012).

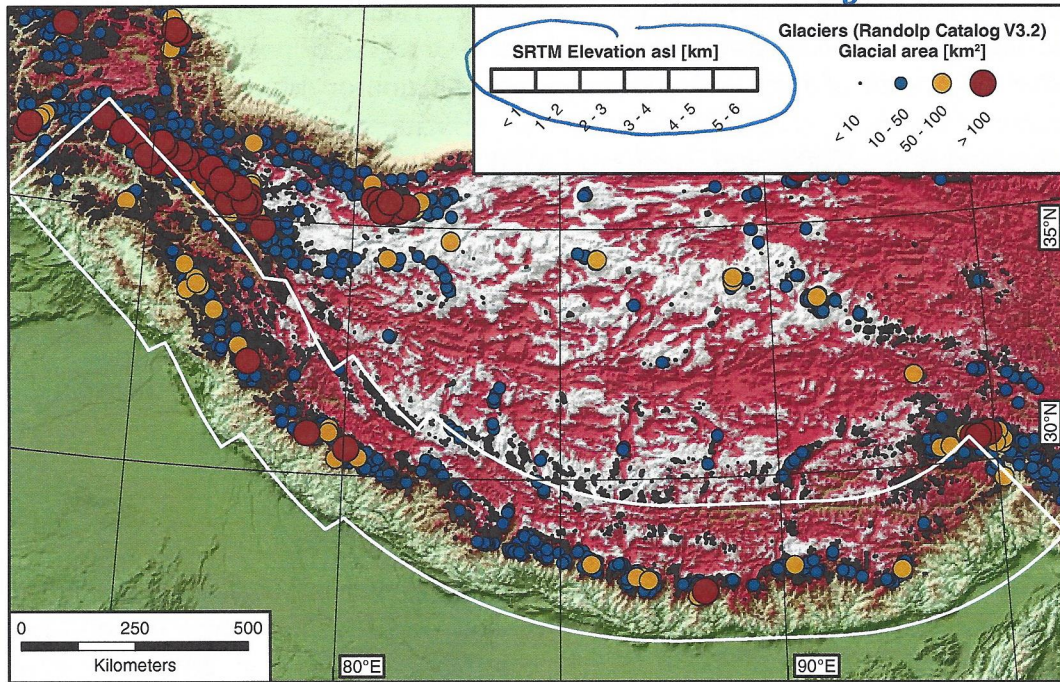
#### 359 11.4.2 Glacial Retreat Rates and Trends

360 The release of glacial melt reaches its crest in the summer and early autumn and can  
361 be critical for both agricultural activities and natural ecosystems (Alford and  
362 Armstrong 2010; Bolch et al. 2012; Ficke et al. 2007; Menon et al. 2013; Sorg et al.  
363 2012; Sultana et al. 2009; Valentin et al. 2008; Wulf et al. 2010). As a result,  
364 changes in the melt water regime due to climate warming could have consequences  
365 for food security and ecosystem services, particularly for the western HMA.  
366 Melting glaciers can also increase the risk of ice/snow avalanches and glacial lake  
367 outburst floods (Quincey et al. 2007; Richardson and Reynolds 2000). However, it  
368 is unlikely that significant changes in annual runoff will occur soon, although  
369 shrinkage outside the Karakoram will increase the seasonality of runoff with impact  
370 on agriculture and hydropower generation. Glaciers in the western Himalayas are  
371 larger than in the central or eastern Himalayas, and thus will have a slower response  
372 time to climatic shifts (Fig. 11.8).

373 Most Himalayan glaciers are losing mass at rates similar to glaciers around the  
374 globe, except for the Karakoram area (Bolch et al. 2012; Gardelle et al. 2012; Kaab  
375 et al. 2012; Scherler et al. 2011b). Despite recent efforts, the climatic and cryo-  
376 spheric processes in the high-elevation Himalayas are still poorly understood. This  
377 is partly due to the difficulty inherent in accessing this region, but also due to the  
378 size and topographic complexity of glaciers in the region (Hewitt 2014). In western  
379 HMA, glaciers are in general receding, but not responding uniformly to climate  
380 warming (Hewitt 2014; Scherler et al. 2011b). Regional patterns have been  
381 detected, but even these have inconsistencies as a result of local variations in  
382 climate. Mayewski and Jeschke (1979) compiled the first observations of glacier  
383 advance and retreat in HMA. The study's database was spatially limited, but the  
384 "big picture" indicated that most glaciers were in retreat or standing still since about  
385 1850. Current observations suggest that this trend is continuing in the central and  
386 eastern Himalayas and the outer Tien Shan (Bolch et al. 2012; Gardelle et al. 2012;  
387 Kaab et al. 2012; Scherler et al. 2011b; Sorg et al. 2012). However, the Karakoram  
388 has remained a regional anomaly (Bolch et al. 2012; Gardelle et al. 2013; Hewitt  
389 2005); Karakoram glaciers have oscillated or surged over the past century,

Color Scale missing! B. Bookhagen

Author Proof



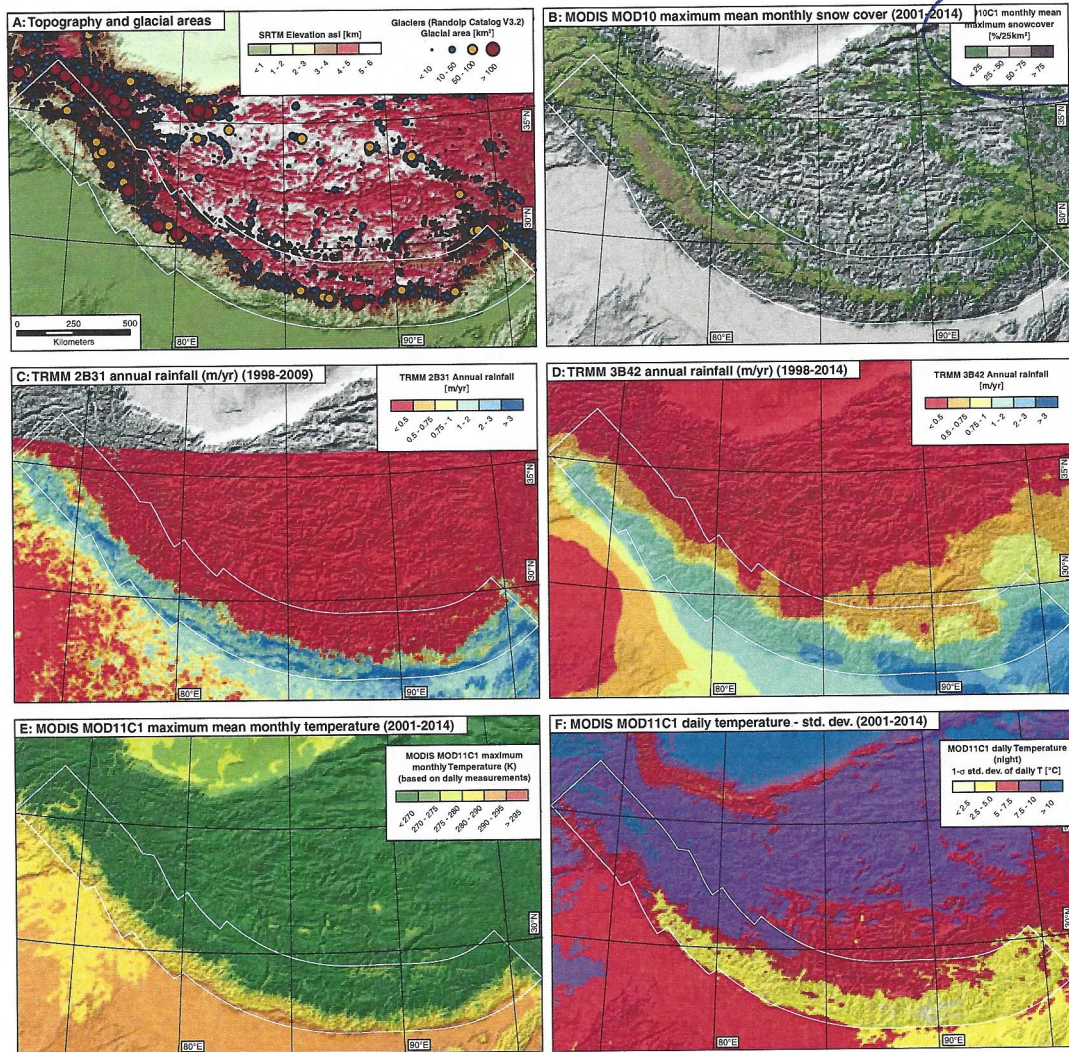
**Fig. 11.8** SRTM topography and glacial sizes following the Randolph Catalog V3.2 (Arendt et al. 2012). The *white delineated area* following the main Himalayan arc indicates the area of the longitudinal profile shown in Fig. 11.10. Note the large glacial sizes in the western and northwestern Himalayas

390 indicating a positive mass balance. Recently, Gardelle et al. (2012) speculated that  
 391 increased winter precipitation and/or cooler summers might be responsible for  
 392 glacier stability or expansion in the Karakoram.

393 The depletion of many Himalayan glaciers has garnered media attention in  
 394 recent years, because of concern over the future of regional water resources.  
 395 However, while glacial melt may constitute most of the summer discharge in  
 396 headwater basins, glacial melt over bigger watersheds comprises only a small  
 397 amount of the annual river runoff (Bookhagen and Burbank 2010; Jeelani et al.  
 398 2012; Pal et al. 2013). For example, in the Liddar watershed (a tributary of the  
 399 Indus), glacial melt contributes 2 % to the annual total, whereas snowmelt com-  
 400 prises 60 % of the annual runoff (Jeelani et al. 2012).

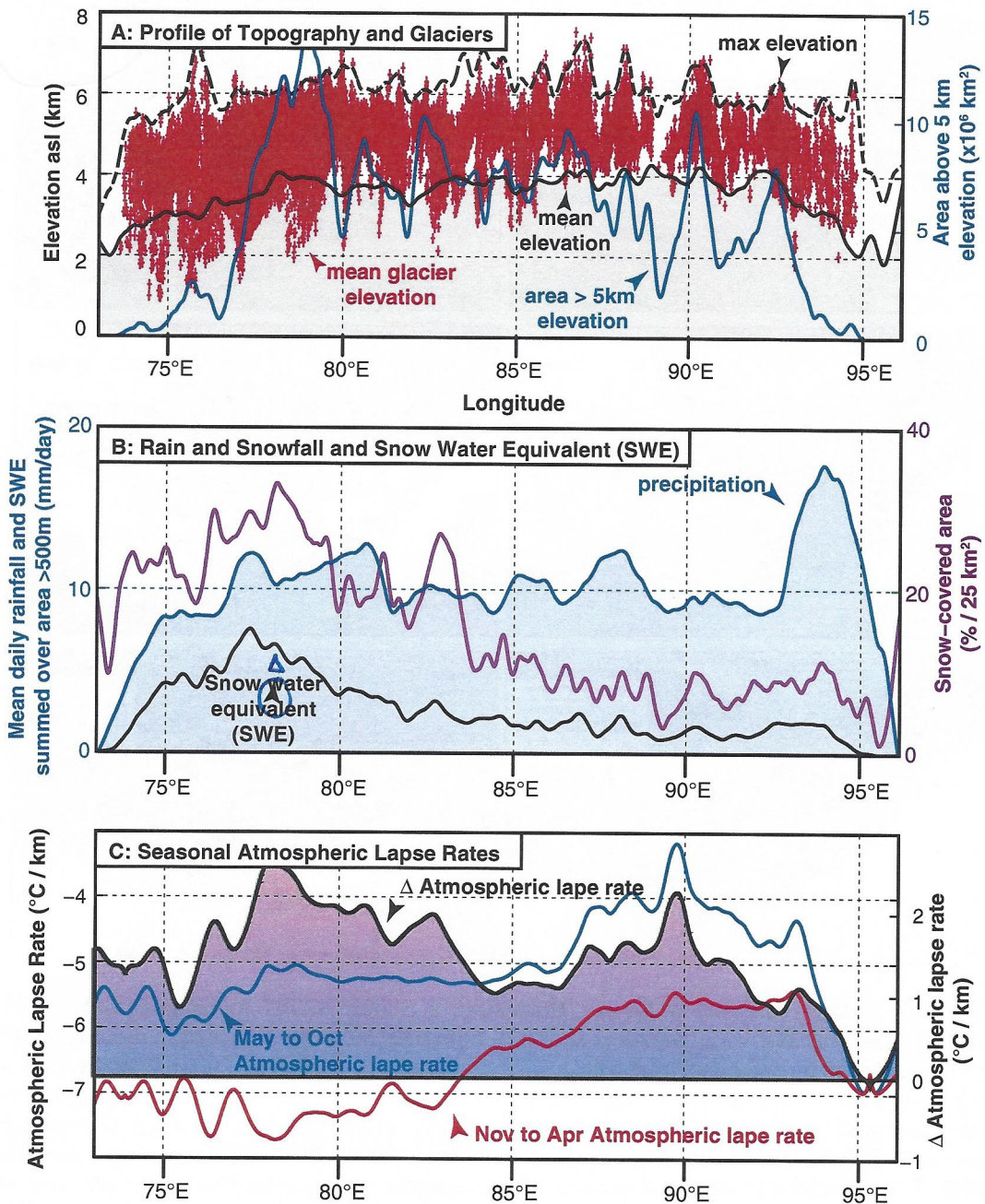
401 The glacial size distribution in the Himalayas shows a clear climatic and topo-  
 402 graphic signal (Fig. 11.9): glaciers in the western Himalayas receive significant  
 403 precipitation in the form of snow during WD, resulting in significant snowcover  
 404 (Fig. 11.9b) and snow-water amounts (Fig. 11.10b). In addition, the potential area  
 405 that can be glaciated—for example, delineated by the area above 5 km elevation—is  
 406 much larger in the western Himalayas than in the eastern (Fig. 11.10a). Mean  
 407 annual temperatures in the western Himalayas are lower and also show a larger  
 408 variability, based on daily temperature data collected during nighttime conditions  
 409 from March 2001 until April 2014 (MODIS product MOD11C1 Wan 2008)  
 410 (Fig. 11.9e, f). Rainfall in the Himalayan foreland shows a clear east-to-west





**Fig. 11.9** Dataset compilation for the Himalayas. **a** Shows SRTM topography and glacier locations based on RGI V3.2 (Arendt et al. 2012). **b** MODIS MOD10C1 maximum mean monthly snowcover based on daily data from March 2001 to April 2014 (Hall et al. 2006). There is a steep east-to-west snow cover gradient with high snowcover amounts in the western Himalayas due to heavy snowfall during westerly disturbances. **c** Mean annual rainfall based on high-spatial resolution TRMM 2B31 data (Bookhagen and Burbank 2006, 2010; Bookhagen and Strecker 2008). There is a band of nearly continuous, high orographic rainfall at the first topographic rise of the Himalayas—separating the Ganges Plain from the mountainous Himalayas. **d** Mean annual TRMM3B42 rainfall (spatial resolution:  $\sim 25 \times 25 \text{ km}^2$  and 3-h temporal resolution) (Boers et al. 2013; Bookhagen and Strecker 2010; Huffman et al. 2007). Note the overall similarity between TRMM3B42 and 2B31 rainfall patterns, but only the TRMM 2B31 data depict the orographic rainfall band. **e** MODIS MOD11C1 maximum mean monthly temperature based on daily data from March 2001 to April 2014 collected during nighttime conditions (Wan 2008). **f** 1-sigma standard deviation of daily temperature (nighttime conditions) from March 2001 to April 2014. Note the low-to-moderate variability in the eastern and central Himalayas, but the high variability in the western Himalayas and in the southern Tibetan Plateau

Author Proof



move arrowhead up

**Fig. 11.10** West-to-east profiles showing topography, climatic variables, and atmospheric lapse rates. Values have been averaged from north to south within the white delineated area shown in Figs. 11.8 and 11.9. **a** Maximum (black, dashed line) and mean elevation (solid line) and area above 5-km elevation. Note the different Y-axis scales as compared to Fig. 11.6. Red crosses denote mean glacier elevation. **b** TRMM 3B42-derived precipitation is shown in blue and snow-water equivalent (SWE) in black (both datasets are shown with the same Y-axis scale). The magenta line denotes a snow-covered area. Note the west-to-east gradient with high snow cover in the western areas due to the influence of winter westerly disturbance. **c** Summer atmospheric lapse rates (blue line) are higher than during the winter (red line). Lapse rates in the central and eastern Himalayas are higher in the summertime (i.e., higher temperatures at higher elevations) because of the heating of the Tibetan Plateau and a reduction of the temperature gradient



411 gradient with more rainfall in the eastern regions closer to the moisture source of the  
412 Bay of Bengal (Bookhagen and Burbank 2010) (Fig. 11.9c, d). However, rainfall in  
413 the mountainous Himalayas is more evenly distributed and doesn't show a strong  
414 gradient, although rainfall to the west of the Shillong Plateau at 90E is higher than  
415 elsewhere in the Himalayas (Figs. 11.9c, d and 11.10b) (Bookhagen and Burbank  
416 2010; Bookhagen et al. 2005).

417 In order to decipher the large-scale climatic and topographic gradients and their  
418 impact on glaciers, a west-to-east profile was constructed that averages values along  
419 the Himalayan arc in a north-south direction (Fig. 11.10). I focus on the areas  
420 above 500 m elevations and exclude low-elevation areas such as the Ganges  
421 foreland and Indus plain. In other words, the focus is on data close to the main  
422 Himalayan arc and does not include the Tibetan plateau. The area is outlined by a  
423 white polygon in Figs. 11.9 and 11.10. This analysis reveals that the maximum  
424 elevations along the Himalayan arc remain roughly similar and vary between 6 and  
425 8 km (Fig. 11.10a), but the area above 5 km varies widely and hence modifies  
426 conditions for cryospheric processes. These data are an approximation of the  
427 hypsometric differences between the eastern and western Himalayas. A clear  
428 west-to-east gradient exists for snow-covered areas and snow-water equivalent with  
429 large amounts of both in the west. There, about half of the annual precipitation falls  
430 as snow (Fig. 11.10b). The summer atmospheric lapse rate is between 5–6 °C/km in  
431 the western and central Himalayas, but decreases to 3.5–4.5 °C/km in the eastern  
432 Himalayas due to a stronger heating of the Tibetan Plateau (Fig. 11.10c); this is  
433 significant because higher elevations in the eastern Himalayas tend to be warmer  
434 than in the western. The winter atmospheric lapse rate shows a weaker west-to-east  
435 gradient because of reduced impacts of the high-elevation Tibetan Plateau.

## 436 11.5 Conclusions

437 Two areas of the global monsoonal domain are characterized by significant  
438 glaciation: the northern and central Andes and the Himalayas (cf. Fig. 11.1). This  
439 chapter elucidates the differences in climatic and topographic boundary conditions  
440 between the two. The tropical northern and central Andes have no seasonal snow  
441 cover, and glaciers of small to moderate size are limited to high-elevation areas. In  
442 contrast, the western Himalayas have a significant winter snow cover due to the  
443 influence of “Winter ~~Western~~ Disturbances,” and this area hosts some of the largest  
444 glaciers outside of the polar regions and Greenland (Fig. 11.8). While precipitation  
445 and seasonal moisture distribution is important for glacier occurrence, hypsometry  
446 (or “area vs. elevation”) can have significant impacts as well. The central Himalayas  
447 and the Cordillera Blanca in Peru do not provide large accumulation areas at high  
448 elevations and hence glaciers are limited to the relatively small areas at which  
449 year-round temperatures are low and moisture supply is sufficient. The western  
450 Himalayas have large areas above 5 and 6 km elevation (Fig. 11.10c). At decadal or  
451 longer time scales, the atmospheric lapse rate or temperature changes with elevation

ok! no changes  
Westerly



452 influence glacial behavior. It is important to note that an annual lapse rate may not  
453 be representative of the relevant conditions influencing glacial formation, and  
454 instead, seasonal lapse rates are more useful for deciphering temperature changes  
455 with elevation (Figs. 11.6c and 11.10c).

## 456 References

- 457 Abbott MB, Wolfe BB, Wolfe AP, Seltzer GO, Aravena R, Mark BG, Polissar PJ, Rodbell DT,  
458 Rowe HD, Vuille M (2003) Holocene paleohydrology and glacial history of the central Andes  
459 using multiproxy lake sediment studies. *Palaeogeogr Palaeoclimatol Palaeoecol* 194(1–3):123–  
460 138. doi:10.1016/s0031-0182(03)00274-8
- 461 Aizen VB, Aizen EM, Melack JM (1995) Climate, snow cover, glaciers, and runoff in the  
462 Tien-Shan, Central-Asia. *Water Resour Bull* 31(6):1113–1129
- 463 Aizen VB, Aizen EM, Melack JM, Dozier J (1997) Climatic and hydrologic changes in the Tien  
464 Shan, Central Asia. *J Clim* 10(6):1393–1404. doi:10.1175/1520-0442(1997)010<1393:  
465 cahcit>2.0.co;2
- 466 Alford D, Armstrong R (2010) The role of glaciers in stream flow from the Nepal Himalaya.  
467 *Cryosphere Discuss* 4(2):469–494. doi:10.5194/tcd-4-469-2010
- 468 Andermann C, Bonnet S, Gloaguen R (2011) Evaluation of precipitation data sets along the  
469 Himalayan front, *Geochemistry Geophysics Geosystems*, 12, doi:10.1029/2011gc003513
- 470 Archer DR, Fowler HJ (2004) Spatial and temporal variations in precipitation in the Upper Indus  
471 Basin, global teleconnections and hydrological implications. *Hydrol Earth Syst Sci* 8(1):47–61
- 472 Arendt A et al (2012) Randolph glacier inventory—a dataset of global glacier outlines: Version  
473 3.2, edited, Global Land Ice Measurements from Space, Boulder, Colorado, USA. Digital  
474 Media
- 475 Baraer M, Mark BG, McKenzie JM, Condom T, Bury J, Huh KI, Portocarrero C, Gomez J,  
476 Rathay S (2012) Glacier recession and water resources in Peru’s Cordillera Blanca. *J Glaciol*  
477 58(207):134–150. doi:10.3189/2012JoG11J186
- 478 Barnett TP, Adam JC, Lettenmaier DP (2005) Potential impacts of a warming climate on water  
479 availability in snow-dominated regions. *Nature* 438(7066):303–309. doi:10.1038/nature04141
- 480 Barnett TP, Dümenil L, Schlese U, Roeckner E, Latif M (1989) The effect of Eurasian snow cover  
481 on regional and global climate variations. *J Atmos Sci* 46(5):661–686. doi:10.1175/1520-0469  
482 (1989)046<0661:TEOESC>2.0.CO;2
- 483 Blanford HF (1884) On the connexion of the Himalaya snowfall with dry winds and seasons of  
484 drought in India. *Proc R Soc London* 37:1–23
- 485 Boers N, Bookhagen B, Marwan N, Kurths J, Marengo J (2013) Complex networks identify spatial  
486 patterns of extreme rainfall events of the South American Monsoon System. *Geophys Res Lett*  
487 40(16):4386–4392. doi:10.1002/grl.50681
- 488 Bolch T et al (2012) The state and fate of Himalayan glaciers. *Science* 336(6079):310–314. doi:10.  
489 1126/science.1215828
- 490 Bolch T, Pieczonka T, Benn DI (2011) Multi-decadal mass loss of glaciers in the Everest area  
491 (Nepal Himalaya) derived from stereo imagery. *Cryosphere* 5(2):349–358. doi:10.5194/tc-5-  
492 349-2011
- 493 Bookhagen B (2010) Appearance of extreme monsoonal rainfall events and their impact on  
494 erosion in the Himalaya. *Geomat Nat Hazards Risk* 1(1):37–50. doi:10.1080/  
495 19475701003625737
- 496 Bookhagen B, Burbank DW (2006) Topography, relief, and TRMM-derived rainfall variations  
497 along the Himalaya. *Geophys Res Lett* 33(8). doi:10.1029/2006gl026037



- 498 Bookhagen B, Burbank DW (2010) Toward a complete Himalayan hydrological budget:  
499 spatiotemporal distribution of snowmelt and rainfall and their impact on river discharge.  
500 *J Geophys Res Earth Surf* 115. doi:10.1029/2009jf001426
- 501 Bookhagen B, Strecker MR (2008) Orographic barriers, high-resolution TRMM rainfall, and relief  
502 variations along the eastern Andes. *Geophys Res Lett* 35(6). doi:10.1029/2007gl032011
- 503 Bookhagen B, Strecker MR (2010) Modern Andean rainfall variation during ENSO cycles and its  
504 impact on the Amazon Basin. In: Hoorn HVC, Wesselingh F (eds) Neogene history of Western  
505 Amazonia and its significance for modern diversity. Blackwell Publishing, Oxford
- 506 Bookhagen B, Strecker MR (2012) Spatiotemporal trends in erosion rates across a pronounced  
507 rainfall gradient: Examples from the southern Central Andes. *Earth Planet Sci Lett* 327:97–  
508 110. doi:10.1016/j.epsl.2012.02.005
- 509 Bookhagen B, Thiede RC, Strecker MR (2005) Abnormal monsoon years and their control on  
510 erosion and sediment flux in the high, and northwest Himalaya. *Earth Planet Sci Lett* 231(1–  
511 2):131–146. doi:10.1016/j.epsl.2004.11.014
- 512 Bradley RS, Keimig FT, Diaz HF, Hardy DR (2009) Recent changes in freezing level heights in  
513 the Tropics with implications for the deglaciation of high mountain regions. *Geophys Res*  
514 *Lett* 36. doi:10.1029/2009gl037712
- 515 Bradley RS, Vuille M, Diaz HF, Vergara W (2006) Threats to water supplies in the tropical Andes.  
516 *Science* 312(5781):1755–1756. doi:10.1126/science.1128087
- 517 Buytaert W, Celleri R, De Bievre B, Cisneros F, Wyseure G, Deckers J, Hofstede R (2006) Human  
518 impact on the hydrology of the Andean paramos. *Earth Sci Rev* 79(1–2):53–72. doi:10.1016/j.  
519 earscirev.2006.06.002
- 520 Buytaert W, Cuesta-Camacho F, Tobon C (2011) Potential impacts of climate change on the  
521 environmental services of humid tropical alpine regions. *Glob Ecol Biogeogr* 20(1):19–33.  
522 doi:10.1111/j.1466-8238.2010.00585.x
- 523 Buytaert W, De Bievre B (2012) Water for cities: the impact of climate change and demographic  
524 growth in the tropical Andes. *Water Resour Res* 48. doi:10.1029/2011wr011755
- 525 Carvalho LMV, Jones C, Liebmann B (2002) Extreme precipitation events in southeastern South  
526 America and large-scale convective patterns in the South Atlantic convergence zone. *J Clim* 15  
527 (17):2377–2394. doi:10.1175/1520-0442(2002)015<2377:epeiss>2.0.co;2
- 528 Carvalho LMV, Jones C, Liebmann B (2004) The South Atlantic convergence zone: Intensity,  
529 form, persistence, and relationships with intraseasonal to interannual activity and extreme  
530 rainfall. *J Clim* 17(1):88–108. doi:10.1175/1520-0442(2004)017<0088:tsaczi>2.0.co;2
- 531 Carvalho LMV, Jones C, Posadas AND, Quiroz R, Bookhagen B, Liebmann B (2012)  
532 Precipitation characteristics of the South American Monsoon System derived from multiple  
533 datasets. *J Clim* 25(13):4600–4620. doi:10.1175/jcli-d-11-00335.1
- 534 Carvalho LMV, Jones C, Silva AE, Liebmann B, Dias PLS (2011a) The South American  
535 Monsoon System and the 1970s climate transition. *Int J Climatol* 31(8):1248–1256. doi:10.  
536 1002/joc.2147
- 537 Carvalho LMV, Silva AE, Jones C, Liebmann B, Dias PLS, Rocha HR (2011b) Moisture transport  
538 and intraseasonal variability in the South America monsoon system. *Clim Dyn* 36(9–10):1865–  
539 1880. doi:10.1007/s00382-010-0806-2
- 540 Dimri AP (2005) The contrasting features of winter circulation during surplus and deficient  
541 precipitation over Western Himalayas. *Pure appl Geophys* 162(11):2215–2237. doi:10.1007/  
542 s00024-006-0092-4
- 543 Farr TG et al (2007) The shuttle radar topography mission. *Rev of Geophys* 45(2). doi:10.1029/  
544 2005rg000183
- 545 Ficke AD, Myrick CA, Hansen LJ (2007) Potential impacts of global climate change on freshwater  
546 fisheries. *Rev Fish Biol Fish* 17(4):581–613. doi:10.1007/s11160-007-9059-5
- 547 Finger D, Heinrich G, Gobiet A, Bauder A (2012) Projections of future water resources and their  
548 uncertainty in a glacierized catchment in the Swiss Alps and the subsequent effects on  
549 hydropower production during the 21st century. *Water Resour Res* 48, W02521. doi:10.1029/  
550 2011wr010733



- 551 Francou B, Vuille M, Wagnon P, Mendoza J, Sicart JE (2003) Tropical climate change recorded  
552 by a glacier in the central Andes during the last decades of the twentieth century: Chacaltaya,  
553 Bolivia, 16 degrees S. *J Geophys Res Atmos* 108(D5). doi:10.1029/2002jd002959
- 554 Fu C, Fletcher JO (1985) The relationship between Tibet-tropical ocean thermal contrast and  
555 interannual variability of Indian monsoon rainfall. *J Climate Appl Meteorol* 24(8):841–847.  
556 doi:10.1175/1520-0450(1985)024<0841:TRBTTO>2.0.CO;2
- 557 Gardelle J, Berthier E, Arnaud Y (2012) Slight mass gain of Karakoram glaciers in the early  
558 twenty-first century. *Nat Geosci* 5(5):322–325. doi:10.1038/ngeo1450
- 559 Gardelle J, Berthier E, Arnaud Y, Kaab A (2013) Region-wide glacier mass balances over the  
560 Pamir-Karakoram-Himalaya during 1999–2011. *Cryosphere* 7(4):1263–1286. doi:10.5194/tc-  
561 7-1263-2013
- 562 Hall DK, Riggs GA, Salomonson VV (2006) MODIS/Terra snow cover daily L3 global 0.05 deg  
563 CMG V005, MOD10C1, Digital Media, edited, National Snow and Ice Data Center, Boulder,  
564 Colorado USA
- 565 Hanshaw MN, Bookhagen B (2014) Glacial areas, lake areas, and snow lines from 1975 to 2012:  
566 status of the Cordillera Vilcanota, including the Quelccaya Ice Cap, northern central Andes,  
567 Peru. *The Cryosphere* 8(2):359–376. doi:10.5194/tc-8-359-2014
- 568 Hardy DR, Vuille M, Bradley RS (2003) Variability of snow accumulation and isotopic  
569 composition on Nevado Sajama, Bolivia. *J Geophys Res Atmos* 108(D22). doi:10.1029/  
570 2003jd003623
- 571 Haselton K, Hilley G, Strecker MR (2002) Average Pleistocene climatic patterns in the southern  
572 central Andes: controls on mountain glaciation and paleoclimate implications. *J Geol* 110  
573 (2):211–226. doi:10.1086/338414
- 574 Hewitt K (2005) The Karakoram anomaly? Glacier expansion and the ‘elevation effect’,  
575 Karakoram Himalaya. *Mt Res Dev* 25(4):332–340. doi:10.1659/0276-4741(2005)025[0332:  
576 tkagea]2.0.co;2
- 577 Hewitt K (2014) *Glaciers of the Karakoram Himalaya*. Springer, Dordrecht
- 578 Huffman GJ, Adler RF, Bolvin DT, Gu GJ, Nelkin EJ, Bowman KP, Hong Y, Stocker EF,  
579 Wolff DB (2007) The TRMM multisatellite precipitation analysis (TMPA): quasi-global,  
580 multiyear, combined-sensor precipitation estimates at fine scales. *J Hydrometeorol* 8(1):38–55.  
581 doi:10.1175/jhm560.1
- 582 Huggel C, Kaab A, Haeberli W, Teyssie P, Paul F (2002) Remote sensing based assessment of  
583 hazards from glacier lake outbursts: a case study in the Swiss Alps. *Can Geotech J* 39(2):316–  
584 330. doi:10.1139/t01-099
- 585 Huss M (2012) Extrapolating glacier mass balance to the mountain range scale: the European Alps  
586 1900–2100. *Cryosphere Discuss* 6(1117–1156)
- 587 Huss M, Farinotti D, Bauder A, Funk M (2008) Modelling runoff from highly glacierized alpine  
588 drainage basins in a changing climate. *Hydrol Process* 22(19):3888–3902. doi:10.1002/hyp.  
589 7055
- 590 Immerzeel WW, Droogers P, de Jong SM, Bierkens MFP (2009) Large-scale monitoring of snow  
591 cover and runoff simulation in Himalayan river basins using remote sensing. *Remote Sens*  
592 *Environ* 113(1):40–49. doi:10.1016/j.rse.2008.08.010
- 593 IPCC (2013) *Climate change 2013: the physical science basis*. Contribution of Working Group I to  
594 the fifth assessment report of the intergovernmental panel on climate change. Cambridge  
595 University Press, Cambridge, United Kingdom and New York, NY, USA, 1535 pp
- 596 Jacob T, Wahr J, Pfeffer WT, Swenson S (2012) Recent contributions of glaciers and ice caps to  
597 sea level rise. *Nature* 482(7386):514–518. doi:10.1038/nature10847
- 598 Jeelani G, Feddema JJ, van der Veen CJ, Stearns L (2012) Role of snow and glacier melt in  
599 controlling river hydrology in Liddar watershed (western Himalaya) under current and future  
600 climate. *Water Resour Res* 48. doi:10.1029/2011wr011590
- 601 Jones C, Carvalho LMV (2002) Active and break phases in the South American Monsoon System.  
602 *J Clim* 15(8):905–914. doi:10.1175/1520-0442(2002)015<0905:aabpit>2.0.co;2



## 11 Glaciers and Monsoon Systems

23

- 603 Kaab A, Berthier E, Nuth C, Gardelle J, Arnaud Y (2012) Contrasting patterns of early  
604 twenty-first-century glacier mass change in the Himalayas. *Nature* 488(7412):495–498. doi:10.  
605 1038/nature11324
- 606 Kaser G (1999) A review of the modern fluctuations of tropical glaciers. *Global Planet Change* 22  
607 (1–4):93–103. doi:10.1016/s0921-8181(99)00028-4
- 608 Kaser G (2001) Glacier-climate interaction at low latitudes. *J Glaciol* 47(157):195–204. doi:10.  
609 3189/172756501781832296
- 610 Kaser G, Cogley JG, Dyurgerov MB, Meier MF, Ohmura A (2006) Mass balance of glaciers and  
611 ice caps: consensus estimates for 1961–2004. *Geophys Res Lett* 33(19). doi:10.1029/  
612 2006gl027511
- 613 Kaser G, Grosshauser M, Marzeion B (2010) Contribution potential of glaciers to water  
614 availability in different climate regimes. *Proc Natl Acad Sci USA* 107(47):20223–20227.  
615 doi:10.1073/pnas.1008162107
- 616 Kitoh A, Endo H, Krishna Kumar K, Cavalcanti IFA, Goswami P, Zhou T (2013) Monsoons in a  
617 changing world: a regional perspective in a global context. *J Geophys Res Atmos* n/a–n/a.  
618 doi:10.1002/jgrd.50258
- 619 Lang TJ, Barros AP (2004) Winter storms in the central Himalayas. *J Meteorol Soc Jpn* 82(3):829–  
620 844. doi:10.2151/jmsj.2004.829
- 621 Lemke P et al (2007) Observations: changes in snow, ice and frozen ground, in climate change  
622 2007: the physical science basis. In: Solomon S, Qin D, Manning M, Chen Z, Marquis M,  
623 Averyt KB, Tignor M, Miller HL (eds) Contribution of Working Group I to the fourth  
624 assessment report of the intergovernmental panel on climate change. Cambridge University  
625 Press, Cambridge, UK, pp 337–383
- 626 Marengo JA et al (2012) Recent developments on the South American Monsoon System. *Int J*  
627 *Climatol* 32(1):1–21. doi:10.1002/joc.2254
- 628 Mayewski PA, Jeschke PA (1979) Himalayan and Trans-Himalayan glacier fluctuations since AD  
629 1812. *Arct Alpine Res* 267–287
- 630 Menon A, Levermann A, Schewe J (2013) Enhanced future variability during India's rainy season.  
631 *Geophys Res Lett* 40(12):3242–3247. doi:10.1002/grl.50583
- 632 Oerlemans J (2005) Extracting a climate signal from 169 Glacier records. *Science* 308(5722):675–  
633 677. doi:10.1126/science.1107046
- 634 Pal I, Lall U, Robertson AW, Cane MA, Bansal R (2013) Predictability of Western Himalayan  
635 river flow: melt seasonal inflow into Bhakra Reservoir in northern India. *Hydrol Earth Syst Sci*  
636 17(6):2131–2146. doi:10.5194/hess-17-2131-2013
- 637 Paul F, Kaab A, Maisch M, Kellenberger T, Haeberli W (2004) Rapid disintegration of Alpine  
638 glaciers observed with satellite data. *Geophys Res Lett* 31(21). doi:10.1029/2004gl020816
- 639 Price MF, Weingartner R (2012) Global change and the world's mountains. *Mt Res Dev* 32(S1):  
640 S3–S6
- 641 Pulliainen J, Hallikainen M (2001) Retrieval of regional snow water equivalent from space-borne  
642 passive microwave observations. *Remote Sens Environ* 75(1):76–85. doi:10.1016/s0034-4257  
643 (00)00157-7
- 644 Putkonen JK (2004) Continuous snow and rain data at 500 to 4400 m altitude near Annapurna,  
645 Nepal, 1999–2001. *Arct Antarct Alp Res* 36(2):244–248. doi:10.1657/1523-0430(2004)036  
646 [0244:CSARDA]2.0.CO;2
- 647 Quincey DJ, Richardson SD, Luckman A, Lucas RM, Reynolds JM, Hambrey MJ, Glasser NF  
648 (2007) Early recognition of glacial lake hazards in the Himalaya using remote sensing datasets.  
649 *Global Planet Change* 56(1–2):137–152. doi:10.1016/j.gloplacha.2006.07.013
- 650 Rabatel A et al (2013) Current state of glaciers in the tropical Andes: a multi-century perspective  
651 on glacier evolution and climate change. *Cryosphere* 7(1):81–102. doi:10.5194/tc-7-81-2013
- 652 Radic V, Hock R (2011) Regionally differentiated contribution of mountain glaciers and ice caps  
653 to future sea-level rise. *Nat Geosci* 4(2):91–94. doi:http://www.nature.com/ngeo/journal/v4/n2/  
654 abs/ngeo1052.html-supplementary-information
- 655 Richardson SD, Reynolds JM (2000) An overview of glacial hazards in the Himalayas. *Quatern Int*  
656 65:31–47



- 657 Robock A, Mu M, Vinnikov K, Robinson D (2003) Land surface conditions over Eurasia and  
658 Indian summer monsoon rainfall. *J Geophys Res* 108(D4):4131. doi:10.1029/2002JD002286
- 659 Rohrmann A, Strecker MR, Bookhagen B, Mulch A, Sachse D, Pingel H, Alonso RN,  
660 Schildgen TF, Montero C (in review) ~~Andean convective storms overprint isotope systematics~~  
661 ~~in rainfall.~~ *Earth Planet Sci Lett*
- 662 Romatschke U, Houze RA (2013) Characteristics of precipitating convective systems accounting  
663 for the summer rainfall of tropical and subtropical South America. *J Hydrometeorol* 14(1):25–  
664 46. doi:10.1175/jhm-d-12-060.1
- 665 Scherler D, Bookhagen B, Strecker MR (2011a) Hillslope-glacier coupling: the interplay of  
666 topography and glacial dynamics in High Asia. *J Geophys Res Earth Surf* 116. doi:10.1029/  
667 2010Jf001751
- 668 Scherler D, Bookhagen B, Strecker MR (2011b) Spatially variable response of Himalayan glaciers  
669 to climate change affected by debris cover. *Nat Geosci* 4(3):156–159. doi:10.1038/ngeo1068
- 670 Sorg A, Bolch T, Stoffel M, Solomina O, Beniston M (2012) Climate change impacts on glaciers  
671 and runoff in Tien Shan (Central Asia). *Nat Clim Change* 2(10):725–731. doi:10.1038/  
672 NCLIMATE1592
- 673 Sultana H, Ali N, Iqbal MM, Khan AM (2009) Vulnerability and adaptability of wheat production  
674 in different climatic zones of Pakistan under climate change scenarios. *Clim Change* 94(1–  
675 2):123–142. doi:10.1007/s10584-009-9559-5
- 676 Tahir A, Chevallier P, Arnaud Y, Ahmad B (2011) Snow cover dynamics and hydrological regime  
677 of the Hunza River basin, Karakoram Range, Northern Pakistan. *Hydrol Earth Syst Sci Discuss*  
678 8(2):2821–2860. doi:10.5194/hess-15-2275-2011
- 679 Tait AB (1998) Estimation of snow water equivalent using passive microwave radiation data.  
680 *Remote Sens Environ* 64(3):286–291. doi:10.1016/s0034-4257(98)00005-4
- 681 Tedesco M, Kelly R, Foster JL, Chang ATC (2004a) AMSR-E/Aqua Daily L3 global snow water  
682 equivalent EASE-grids. Version 2. [indicate subset used], edited, NASA DAAC at the National  
683 Snow and Ice Data Center, Boulder, Colorado USA
- 684 Tedesco M, Pulliainen J, Takala M, Hallikainen M, Pampaloni P (2004b) Artificial neural  
685 network-based techniques for the retrieval of SWE and snow depth from SSM/I data. *Remote*  
686 *Sens Environ* 90(1):76–85. doi:10.1016/j.rse.2003.12.002
- 687 Thompson LG, Mosley-Thompson E, Davis ME, Lin PN, Henderson K, Mashiotta TA (2003)  
688 Tropical glacier and ice core evidence of climate change on annual to millennial time scales.  
689 *Clim Change* 59(1–2):137–155. doi:10.1023/a:1024472313775
- 690 Valentin C et al (2008) Runoff and sediment losses from 27 upland catchments in Southeast Asia:  
691 Impact of rapid land use changes and conservation practices. *Agric Ecosyst Environ* 128  
692 (4):225–238. doi:10.1016/j.agee.2008.06.004
- 693 Vaughan DG et al (2013) Observations: cryosphere. In: *Climate change 2013: the physical science*  
694 *basis. Contribution of Working Group I to the fifth assessment report of the intergovernmental*  
695 *panel on climate change.* Cambridge University Press, Cambridge, United Kingdom and New  
696 York, NY, USA
- 697 Vera C et al (2006) Toward a unified view of the American monsoon systems. *J Clim* 19  
698 (20):4977–5000. doi:10.1175/jcli3896.1
- 699 Viviroli D, Weingartner R (2004) The hydrological significance of mountains: from regional to  
700 global scale. *Hydrol Earth Syst Sci* 8(6):1016–1029
- 701 Vuille M, Francou B, Wagnon P, Juen I, Kaser G, Mark BG, Bradley RS (2008) Climate change  
702 and tropical Andean glaciers: past, present and future. *Earth Sci Rev* 89(3–4):79–96. doi:10.  
703 1016/j.earscirev.2008.04.002
- 704 Wagnon P, Ribstein P, Francou B, Sicart JE (2001) Anomalous heat and mass budget of Glacier  
705 Zongo, Bolivia, during the 1997/98 El Nino year. *J Glaciol* 47(156):21–28. doi:10.3189/  
706 172756501781832593
- 707 Wagnon P, Ribstein P, Kaser G, Berton P (1999) Energy balance and runoff seasonality of a  
708 Bolivian glacier. *Global Planet Change* 22(1–4):49–58. doi:10.1016/s0921-8181(99)00025-9

2014

Rohrmann, A et al: Can stable isotopes ride out the storms?  
The role of convection for water isotopes in models, records, and  
paleoalkimetry studies in the central Andes, *Earth Planet Sci Lett*,  
407, 187–195. doi:10.1016/j.epsl.2014.09.021